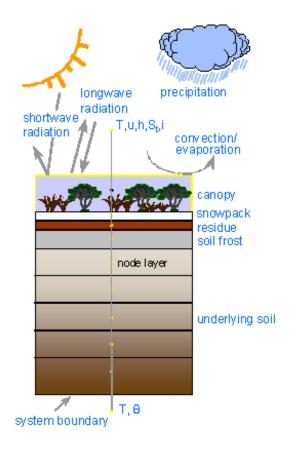
# The Simultaneous Heat and Water (SHAW) Model: User's Manual Version 3.03

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# **Background and Overview**

The Simultaneous Heat and Water (SHAW) model, originally developed to simulate soil freezing and thawing, simulates heat, water and solute transfer within a one-dimensional profile which includes the effects of plant cover, dead plant residue, and snow. Unique features of the model include: simultaneous solution of heat, water and solute fluxes; detailed provisions for soil freezing and thawing; and a sophisticated approach to simulating transpiration and water vapor transfer through a multi-species plant canopy. Information from the model can be used to assess management and climate effects on biological and hydrological processes, including seedling germination, plant establishment, insect populations, soil freezing, infiltration, runoff, and ground-water seepage.

## **Wintertime Processes**

The SHAW model is one of the most detailed models available for snowmelt and soil freezing and thawing. The model has been shown to accurately simulate frost depth for a wide range of soil, climatic and surface conditions. It is capable of simulating complex wintertime phenomena of freezing effects on moisture and solute migration, solute effects on frost formation, and frozen soil related runoff. Transfer within the soil profile is solved concurrently with the surface energy and mass balance, which includes solar and long-wave radiation exchange, evaporation, and sensible and latent heat transfer.

Energy and mass transfer calculations for snow within the SHAW model are computed for a multi-layer snowpack. The energy balance of the snow includes solar and long-wave radiation exchange, sensible and latent heat transfer at the surface, and vapor transfer within the snowpack. Absorbed solar radiation, corrected for local slope, is based on measured incoming short-wave radiation, with albedo estimated from grain size, which in turn is estimated from snow density. Liquid water is routed through the snowpack using attenuation and lag coefficients, and the influence of metamorphic changes of compaction, settling and grain size on density and albedo are considered.

# Evapotranspiration

The model is capable of simulating the effects of a multi-species plant canopy (including standing dead plant material) on heat and water transfer. Temporal variation in plant size, rooting depth, and leaf area index of each plant species is defined by the user. Provisions for a plant canopy in the SHAW model were made using detailed physics of heat and water transfer through the soil-plant-atmosphere continuum. Transpiration is linked mechanistically to soil water by flow through the roots and leaves. Within the plant, water flow is controlled mainly by changes in stomatal resistance, which is computed as a function of leaf water potential.

# **Input Requirements**

Input to the SHAW model includes: initial conditions for snow, soil temperature and water content profiles; daily or hourly weather conditions (temperature, wind speed, humidity, precipitation and solar radiation); general site information; and parameters describing the vegetative cover, snow, residue and soil. General site information includes slope, aspect, latitude, and surface roughness parameters. Plant canopy parameters include height, leaf area index, biomass, leaf dimension, stomatal resistance parameters, and rooting depth. Residue or litter properties include residue

loading, thickness of the residue layer, percent cover and albedo. Input soil parameters are bulk density, saturated conductivity, albedo, and coefficients for the soil water potential-water content relation.

#### **User Interface**

A user-interface called ShawGui has been developed for the SHAW model. ShawGui contains menus designed for ease of data entry. ShawGui will assist in creating the required input files for the SHAW model and run SHAW. ShawGui provides information about input parameters and performs range and error checking for input data.

ShawGui is a Java-based GUI (graphical user interface) that theoretically should be platform independent. Thus, rather than a Windows executable (\*.exe) the file is "ShawGui.jar". Except for actually running the SHAW model executable, it should be functional for creating the SHAW input files on a Unix or Linux system.

ShawGui is an update of the old user-interface "ModShell". Input files for the ModShell interface can be read by ShawGui.

# **Getting Started**

This section contains information on running the sample input files provided with the model, compiling the model, and some other useful information. The model can be downloaded from the SHAW web site

((<u>https://www.ars.usda.gov/pacific-west-area/boise-id/northwest-watershed-research-center/docs/shaw-model/</u>) as a compressed zip file (SHAW303.zip). Extract all files from the zip file to a directory of your choice.

Files included with distribution of the Simultaneous Heat and Water (SHAW) Model include: a "ReadMe.txt" file; an executable image of the SHAW model (Shaw303.exe); user-interface software (ShawGui.303.jar); sample input files for the user interface and a couple model runs; sample output files; the Fortran source code; and a beta version of the model that will simulate CO<sub>2</sub> fluxes. To simplify usage of the SHAW model, place both executable files (ShawGui.303.jar and Shaw303.exe) into a directory of your choice.

You can run the SHAW model with or without the user-interface software. The user interface has restrictions (such as no options for solute transport, no options for soil matric potential input in lieu of soil water content, no choice for the form of the soil moisture release curve, and no sub-surface lateral flow) that can be somewhat limiting. If this is the case, you can use the interface to build the input files, then alter them as needed.

# **ShawGui Sample Input**

To run the ShawGui user interface, simply double-click on the ShawGui.jar file or type "ShawGui.jar" from the command line (don't type quotation marks, capitalization is not necessary). You will then be in the shell program, giving you a choice of menu options to input your data. Default parameters within ShawGui are such that it can immediately create SHAW input files and run the SHAW model from the SHAW Menu tab using the sample weather data file (Trial.30.wea). Thus, if the SHAW executable and Trial.30.wea are in the same directory as ShawGui.jar, it should be able create the other SHAW input files (the list of input/output files, the site file, the soil temperature file, and the soil moisture file) and run the SHAW model.

A sample data set (TrialShawGui.gui) for ShawGui is included with distribution of the model and may be used with the sample weather data file (Trial.30.wea). Open the TrialShawGui.gui file from the File menu tab. The path for the input and output files specified within the Control menu tab will likely need to be changed for the particular location of your trial input files. ShawGui will create input files for SHAW 3.03 and will optionally run SHAW from the SHAW menu tab. Output files from the sample ShawGui input will be similar to the output files described in the SHAW 3.0 Sample Input subsection, but will have an extension of "guiOut" as specified in the Control menu tab. Additional output files may be specified from the Control menu tab.

# **SHAW 3.0 Sample Input**

Two sample trial runs titled Trial.303 and US-Rms are available with the distributed model. To run the sample input data set without the user-interface software, either double-click on the Shaw303.exe file within Windows Explorer or execute "Shaw303" from the command line prompt. Five sample input files for the Trial.303 model run are:

Trial.303.inp input file containing list of input/output files

Trial.30.wea input file containing weather data
Trial.30.sit input file containing site characteristics
Trial.moi input file containing soil moisture profiles
Trial.tem input file containing soil temperature profiles

Upon executing Shaw303, enter "Trial.303.inp" when prompted for the file containing the list of input/output files. (The full directory path will need to be entered if the Trial.303.inp file is not in the same directory as Shaw303.exe.) The trial simulation will generate the following files:

Out.out: output file for general information Temp.out: simulated soil temperature profiles

Moist.out: simulated soil water profiles

Energy.out: summary of simulated energy balance at the surface

Water.out: simulated water balance summary
Frost.out: simulated frost, thaw, and snow depths

ShawPest.out: comparison of simulated and observed values with goodness-of-fit statistics

Files to run a simulation for the AmeriFlux US-Rms site are "US-Rms.inp" and those located in the "US-Rms" folder. Upon executing SHAW303, enter "US-Rms.inp" when prompted for the file containing the list of input/output files. The output files generated by the trial simulations should match those located in the "Output" folder.

For information on specifying other output files that may be generated or for information on putting together data sets for your own applications, you are referred to either the section "Input for SHAW 3.03" or the ShawGui user-interface. For information on the ShawGui user-interface, see the instructions entitled "SHAW 3.03 User Interface".

# **Converting SHAW 2.x Input Files to SHAW 3.0**

Although version 3.0 of the SHAW model will read input files from previous versions, a utility (Convert2Shaw30.exe) is provided to convert SHAW 2.3 input files to the format used by SHAW 3.0. This allows easier use of some of the expanded input/output options provided by version 3.0. Running the conversion utility is very similar to running the model. It will prompt the user for the list of input/output files; the directory path will need to be included if the file is not in the current directory when Convert2Shaw30.exe is started. The utility will create a new file for the list of input/output files, a new site file, and new plant growth files, if used. The utility will not convert the weather file from the mixed English/SI units. The <a href="IFLAGSI">IFLAGSI</a> parameter will be set for the mixed English/SI units; if the input files were for a SHAW2.x-SI version of the model, the user will need to reset this parameter after running the utility.

# **Compiling the Model**

If you wish to run the model on a system other than a Windows console application, you will probably need to compile the program on the particular system you plan to use. The computer code for the model uses standard Fortran 77 and should be transferrable to most any system.

# **Assistance**

If you have questions concerning the model, encounter problems, or need additional information, please contact:

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Email: gerald.flerchinger@usda.gov

# **SHAW 3.03 User Interface**

The SHAW model with user-interface enhancement ShawGui is composed of two distinct programs:

- 1. A Java-based graphical user interface (ShawGui.303.jar), which contains menus designed for ease of data entry. This interface will create the required input files for the SHAW model.
- 2. The SHAW model itself (Shaw303.exe), which requires input files created by either the shell program or by the user, along with a user supplied weather data file.

The interface can be very efficient for new users of the SHAW model to set up input files and run the SHAW model. The section of this manual entitled "<u>Input for SHAW 3.0.3</u>" describes how to create all the input files for the SHAW model without using the user-interface program.

# **Data Input**

Menu options within the ShawGui interface include:

File: allows you to recall input data from a previous simulation, save the

current parameter settings, or reset all inputs to default settings.

Control: specifies dates of simulation, location of input weather file, desired

output files, and format of the weather file.

Site: input general information for the site (latitude, slope, aspect etc.)

Vegetation: input data for the plant canopy characteristics.

Soils: input data for the soil characteristics.

Surface: input data for residue, snow, and surface characteristics.

SHAW: invokes the user interface to build all SHAW input files using the

current data values, and, optionally, to execute the SHAW model

simulation.

By progressing systematically through each of the other menu options prior to the "SHAW" option, the user will be prompted for all of the data necessary to build the input files (with the exception of the weather data file). At any time, and usually prior to invoking the "SHAW" option, the user can save the values input into the interface to a ShawGui parameter file, typically giving it an extension of \*.gui.

The "SHAW" menu tab may be used to either simply create the input files for the SHAW model, or to run the SHAW model. In either case, this option will build and name the SHAW input data files as follows:

<u>List of Input/Output files</u> : \*.inp

<u>Moisture Profile Data</u> : \*.moi (created optionally)

Temperature Profile Data : \*.tem (created optionally)

Site Characteristics : \*.sit

where the filename (\*) is the same as the ShawGui parameter file. The SHAW input files will be stored in the same directory as the ShawGui parameter file. If the ShawGui parameters have not been saved to a file, the default filename for the SHAW input files is TRIALgui.\* and they will be

placed in the same directory as the ShawGui executable.

Input files for initial temperature and moisture profiles and boundary conditions at the bottom of the soil profile may either be created by the user interface or supplied by the user, in which case the interface will prompt the user for the location of these input files. If desired, ShawGui will optionally extend the depth of the profile to 4 meters where soil temperature may be assumed constant. In this case, ShawGui will artificially create additional simulation depths down to 4 meters. Temperature at this bottom depth is estimated from the specified annual average air temperature. Initial water content to 4 meters is assumed equal to the deepest input water content.

The user must supply the weather data file in the format described in the "<u>Input for SHAW</u> <u>3.0</u>" section of this manual. The name and format (daily or hourly) is specified by the user in the user interface under the "Control" menu tab.

# **Running the Model**

Upon selecting the "SHAW" menu tab, the SHAW simulation will begin and the model will create data output files. File extensions and directory paths may be changed in the "Control" menu tab. The default names are as follows:

General output information OUT.out Predicted temperature TEMP.out Predicted moisture content MOIST.out Predicted soil water matric potential MATRIC.out Predicted soil liquid water content LIQUID.out Predicted plant canopy temperature profile CANTMP.out Predicted plant canopy humidity profile CANTMP.out Snow pack temperature profile SNOWTMP.out Summary of energy flux at surface **ENERGY.out** Water balance summary WATER.out Water flow between soil nodes WFLOW.out Frost depth and ice content profile FROST.out

All the above file names and those for the input files are contained in the List of Input/Output files (e.g. Trial ShawGui.inp). The user can specify which files are desired and the frequency of output within each file in the "Control" menu tab of the user interface.

# **Input for SHAW 3.03**

The SHAW model requires a minimum of five input files: 1) a file containing a list of input and output files; 2) a file containing initial soil moisture profile data; 3) a file containing initial temperature profile data; 4) a file containing weather data; and 5) a file containing general information and site characteristics. Input files specifying plant growth, changing plant parameters, and changing residue conditions are optional. The following sections give a description of the data required in each input file. All data files are read with free format, so data need only be separated with blanks and/or a comma.

# **List of Input/Output Files**

The SHAW model will prompt you for the name of a file containing a list of the input and output files. This file must contain the following information:

Line	A	

**IVERSION** 

Specifies version of the model for the input format. Valid versions include Shaw2.3 through Shaw3.0 and Shaw2.3-SI through Shaw2.8-SI. All "Shaw2.x" versions follow the input format of Shaw2.3; all "Shaw2.x-SI" versions assumes SI units for the weather file, i.e. wind speed in m/s and precipitation in mm instead of mph and inches in the standard versions of Shaw 2.x. Versions Shaw2.8 and Shaw2.8-SI use the input format for Shaw2.3, but allow for the extended output options of Shaw3.0, i.e. line C through C-19 in the list of input/output files.

If line A of this file is missing and the file starts with line B, the model assumes that the input files follow the Shaw2.3 input format.

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 $\overline{\text{MTSTEP}}$  Flag indicating time step for the weather data. (0 = hourly weather data; 1 = daily weather

data; 2 = weather data is at same intervals as <u>NHRPDT</u> in Line D of the Site Characteristics

file.

IFLAGSI Flag indicating whether input weather files is in metric units or a mix of English and metric

units. (0 = weather file has a mix of English and metric units; 1 = weather file is in metric)

units only).

INPH2O Flag indicating whether input of soil water profiles for initial conditions (and lower

boundary if applicable) are in terms of volumetric water content (INPH2O=0) or in matric

potential (INPH2O=1).

MWATRXT Flag indicating if a source/sink term for water extracted from soil layers will be input.

MWATRXT=0 if no sink term is specified; MWATRXT=1 if a sink term is specified.

(MWATRXT will be zero for nearly all cases.)

<u>Line B-1</u> Name of input file containing <u>site characteristics</u>. The directory path must be included

unless the file is in the current directory when the model is invoked. (Limit file path to 80

characters.)

Line B-2 Name of input file containing weather data. The directory path must be included unless

the file is in the current directory when the model is invoked. (Limit file path to 80

characters.)

Line B-3 Name of input file containing moisture profile data. The directory path must be included

- unless the file is in the current directory when the model is invoked. (Limit file path to 80 characters.)
- <u>Line B-4</u>
  Name of input file containing <u>temperature profile data</u>. The directory path must be included unless the file is in the current directory when the model is invoked. (Limit file path to 80 characters)
- <u>Line B-5</u>
  Name of input file containing <u>soil sink data</u> for water extraction from soil layer. The directory path must be included unless the file is in the current directory when the model is invoked. (Omit this line if MATRXT in Line B is zero; limit file path to 80 characters.)

#### Line C

- LVLOUT (1) Output frequency in hours for entire profile (canopy, snow, residue and soil conditions) in general output file. Value ranges between 0 (no profile output) and 24 (daily output). (However, a value of 1 will result in daily output if daily time steps are used; hourly time steps are required for hourly output.)
- LVLOUT (2) Output frequency in hours for soil temperature profile output. (Range: 0 to 24.)
- LVLOUT (3) Output frequency in hours for <u>soil total water content profile</u>. (Range: 0 to 24)
- LVLOUT (4) Output frequency in hours for soil liquid water content profile. (Range: 0 to 24)
- LVLOUT (5) Output frequency in hours for soil matric potential. (Range: 0 to 24)
- LVLOUT (6) Flag indicating output for <u>plant canopy air and leaf temperatures profiles</u>. (0 = no output of canopy profile; positive values indicate the number of canopy nodes to output; Range: 0 to 10)
- LVLOUT (7) Flag indicating output for <u>plant canopy humidity profile</u>. (0 = no output of canopy profile; values less than zero will output % relative humidity; positive values will output vapor pressure in kPa. The absolute value indicates the number of canopy nodes to output; Range: =-10 to +10)
- LVLOUT (8) Output frequency in hours for snow temperature profile. (Range: 0 to 24)
- LVLOUT (9) Output frequency in hours for surface energy balance. (Range: 0 to 24)
- LVLOUT (10) Output frequency in hours for water balance summary. (Range: 0 to 24)
- LVLOUT (11) Output frequency in hours for vertical water flow between soil layers. (Range: 0 to 24)
- LVLOUT (12) Output frequency in hours for water extracted by plant roots. (Range: 0 to 24)
- LVLOUT (13) Output frequency in hours for <u>lateral sub-surface flow</u> exiting soil profile. (Range: 0 to 24)
- LVLOUT (14) Output frequency in hours for snow and frost depth. (Range: 0 to 24)
- LVLOUT (15) Output frequency in hours for total salt concentration. (Range: 0 to 24)
- LVLOUT (16) Output frequency in hours for soil solution concentration. (Range: 0 to 24)
- LVLOUT (17) Flag for output of <u>comparison of simulated and observed values</u> and goodness-of-fit statistics (which can facilitate coupling with optimization schemes, such as PEST; 0 if no, 1 if output is desired).
- LVLOUT (18) Output flag for future development of the model. (Parameter is not currently used)
- LVLOUT (19) Output flag for future development of the model. (Parameter is not currently used)
- LVLOUT (20) Time step frequency for updating to screen the day and hour that the program has completed. (Range: ≥0; frequent updating to the screen may significantly increase run times; a value of zero indicates no updating to the screen.)
- <u>Line C-1</u> Name of output file for general output information and hourly or daily temperature, moisture and solute profile. (Limit file path to 80 characters.)
- <u>Line C-2</u> Name of output file for simulated temperature profiles. (Limit file path to 80 characters.)
- Line C-3 Name of output file for simulated total water content profiles. (Limit to 80 characters.)

Line C-4	Name of output file for simulated liquid water content profiles. (Limit to 80 characters.)
<u>Line C-5</u>	Name of output file for simulated water potential profiles. (Limit to 80 characters.)
Line C-6	Name of output file for plant canopy temperature profiles. (Limit to 80 characters.)
Line C-7	Name of output file for plant canopy humidity profiles. (Limit to 80 characters.)
Line C-8	Name of output file for snow temperature profiles. (Limit to 80 characters.)
Line C-9	Name of output file for summary of energy flux at surface. (Limit to 80 characters.)
<u>Line C-10</u>	Name of output file for water balance summary. (Limit file path to 80 characters.)
<u>Line C-11</u>	Name of output file for water flow between soil layers. (Limit to 80 characters.)
<u>Line C-12</u>	Name of output file for water extracted by plant roots. (Limit file path to 80 characters.)
<u>Line C-13</u>	Name of output file for sub-surface lateral flow. (Limit file path to 80 characters.)
<u>Line C-14</u>	Name of output file for frost depth and ice content profiles. (Limit to 80 characters.)
<u>Line C-15</u>	Name of output file for total salt concentration profiles. (Limit to 80 characters.)
<u>Line C-16</u>	Name of output file for solute concentration of soil solution. (Limit to 80 characters.)
Line C-17	Name of output file for comparison of simulated and measured values and goodness-of-fit measures (Limit file path to 80 characters.)
<u>Line C-18</u>	Output file for potential future applications of the model. (Limit file path to 80 characters.)
<u>Line C-19</u>	Output file for potential future applications of the model. (Limit file path to 80 characters.)
	he lines C-1 through C-19 must be present, even if value of LVLOUT on line C

(Each one of the lines C-1 through C-19 must be present, even if value of LVLOUT on line C indicates no output is desired.)

#### Line D ("D-series of lines not included if LVLOUT(17)=0)

- LOUT (1) Flag indicating whether simulated/observed comparison statistics are desired for simulated values the <u>general output file</u>. (0 if no; any non-zero value if yes; this option would rarely be used for the general output file)
- LOUT (2) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the <u>soil temperature output file</u>. (0 if no; any non-zero value if yes)
- LOUT (3) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the <u>soil total water content output file</u>. 0 if no; any non-zero value if yes)
- LOUT (4) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the <u>soil liquid water content output file</u>. (0 if no; any non-zero value if yes)
- LOUT (5) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the <u>soil matric potential output file</u>. (0 if no; any non-zero value if yes)
- LOUT (6) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the plant canopy temperature output file. (0 if no; any non-zero value if yes)

- LOUT (7) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the plant canopy humidity output file. (0 if no; any non-zero value if yes) LOUT (8) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the <u>snow temperature output file</u>. (0 if no; any non-zero value if yes) Flag indicating whether simulated/observed comparison statistics are desired for simulated LOUT (9) values in the surface energy balance output file. (0 if no; any non-zero value if yes) LOUT (10) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the water balance summary output file. (0 if no; any non-zero value if yes) Flag indicating whether simulated/observed comparison statistics are desired for simulated LOUT (11) values in the vertical water flow between soil layers output file. (0 if no; any non-zero value if ves) LOUT (12) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the <u>water extracted by plant roots output file</u>. (0 if no; any non-zero value if yes) Flag indicating whether simulated/observed comparison statistics are desired for simulated LOUT (13) values in the lateral sub-surface flow output file. (0 if no; any non-zero value if yes) Flag indicating whether simulated/observed comparison statistics are desired for simulated LOUT (14) values in the snow and frost depth output file. (0 if no; any non-zero value if yes) Flag indicating whether simulated/observed comparison statistics are desired for simulated LOUT (15) values in the total salt concentration output file. (0 if no; any non-zero value if yes) LOUT (16) Flag indicating whether simulated/observed comparison statistics are desired for simulated values in the soil solution concentration output file. (0 if no; any non-zero value if yes) Value not used but an input integer is required LOUT (17) LOUT (18) Flag indicating whether simulated/observed statistics are desired for experimental model
- Line D-1

LOUT (19)

IFILE Name of file to compare with the respective LOUT file. First two or three columns in this file are assumed to be the time stamp. For  $\underline{IDAILY} = 0$ , the first three columns are day of year, hour, and year, respectively; for  $\underline{IDAILY} \neq 0$ , daily values are assumed and the first two columns are day of year and year, respectively.

output. (Parameter is not currently used but an input integer is required)

output. (Parameter is not currently used but an input integer is required)

Flag indicating whether simulated/observed statistics are desired for experimental model

Line D-2

JSTART Day of year to start comparison

JYRSTRT Year to start comparison

JEND Day of year to end comparison

JYREND Year to end comparison

NHEADR Number of lines to skip in observation file IFILE in Line D-1

IDAILY Flag indicating whether values in file <a href="IFILE">IFILE</a> in Line D-1 are hourly or daily NCOLMN Number of columns in simulated file to compute comparison statistics

THRESH Threshold value to discard any simulated or observed values whose absolute value is

greater then THRESH

KOUTOBS Flag indicating whether side-by-side output of simulated and measured values is desired

(0 if no; 1 if yes).

#### Line D-3

N(I), I=1 to NCOLMN: Column numbers after time stamp in output file for each column that comparison statistics are desired. (The number of values on this line should correspond to <a href="NCOLMN">NCOLMN</a>.)

#### Line D-4

M(I), I=1 to NCOLMN: Column numbers after time stamp in observation file IFILE to compare with

respective column in output file. (The number of values on this line should correspond to NCOLMN.)

(Lines D-1 through D-4 are repeated for each non-zero value in Line D, except for LOUT(17).)

# **Moisture Profile Data File**

The model requires an input soil water profile to initialize the profile for the day and hour on which simulation begins; another is required on or after the last day of simulation when <a href="IVLCBC">IVLCBC</a> is set to 0 (line J of the site characteristic file) for interpolation of water content at the lower boundary between sampling times. The model will search through the data set for the profile corresponding to the day and hour on which simulation begins, so the file may contain moisture profile data for any number of sampling dates (ordered chronologically) before or after the simulation period. Any moisture profiles in the input file between the start and end of the simulation period will be used to interpolate water content at the lower boundary between sampling dates when <a href="IVLCBC">IVLCBC</a> is set to 0). Each line within the file should contain the following data:

JDAY Day of the year

JHR Approximate hour at which samples were collected

JYR Year during which samples were collected

VLCDT(I)  $\theta_l + (\rho_i/\rho_l)\theta_i$  Soil moisture for each soil node (I=1 to the number of soil nodes, NS).

Soil moisture is given as the volumetric water (liquid + ice)

or  $\psi$  content (m<sup>3</sup>/m<sup>3</sup>) for INPH2O=0 (Line A of Input/Output file) or soil matric

potential (m) for **INPH2O**=1.

# **Temperature Profile Data File**

The discussion for the moisture profile data holds true for the temperature profile data, with the exception that soil temperature profile(s) in addition to the initial profile are required for interpolation when  $\underline{\text{ITMPBC}} = 0$ . Each line within the temperature profile data file should contain the following data:

JDAY Day of the year

JHR Hour at which temperatures were read JYR Year during which temperatures were read

TSDT(I) T Temperature data for each soil node (I=1 to the number of soil nodes, NS)

#### Weather Data File

Format of the weather data depends on the value MTSTEP in Line B of the Input/Output file. For MTSTEP=0, hourly weather data is expected and must be available for every hour during the simulation period. Hourly data must begin on or before hour 1 of the day to start simulation. The format for MTSTEP=2 is identical except data is expected at intervals equal to NHRPDT (line D of the Site Characteristics file) and must start on or before hour NHRPDT of the beginning day of simulation. Each line within the weather data must have the following data (for MTSTEP=0 or 2):

JD Day of the year

JH		Hour of the day
JYR		Year
TA	$T_a$	Air temperature in degrees Celsius
WIND	и	Wind speed (m/s if <a href="IFLAGSI">IFLAGSI</a> on Line B of input/output file equals 1 or if an "SI"
		version is specified on Line A; otherwise units are mph)
HUM	h	Relative humidity (%)
PRECIP	i	Precipitation (mm if <u>IFLAGSI</u> on Line B of input/output file equals 1 or if an "SI"
		version is specified on Line A; otherwise units are inches)
SNODEN	$ ho_{sp}$	Density of newly fallen snow if precipitation is snow (g/cm <sup>3</sup> )
		(set to zero if density is unknown the model then will calculate
		a density based on air temperature)
SUNHOR	$S_t$	Total solar radiation measured on a horizontal surface (W/m²)

For MTSTEP=1, daily weather data is expected starting on or before the beginning day of simulation. Each line of the daily weather data file must have the following information (for MTSTEP=1):

JD		Day of the year
JYR		Year
TMAX	$T_a$	Maximum daily air temperature in degrees Celsius
TMIN	$T_a$	Minimum daily air temperature in degrees Celsius
TDEW	$T_d$	Dew-point temperature in degrees Celsius
WIND	и	Average wind speed (m/s if IFLAGSI on Line B of input/output file equals 1 or if
		an "SI" version is specified on Line A; otherwise units are miles/day)
PRECIP	i	Daily precipitation (mm if <b>IFLAGSI</b> on Line B of input/output file equals 1 or if an
		"SI" version is specified on Line A; otherwise units are inches)
SOLAR	$S_t$	Average daily solar radiation measured on a horizontal surface (W/m²)

#### **Site Characteristics File**

The input file containing site characteristics will vary depending on whether plants, snow or residue are present. The first five lines of the file (Lines A to E) are general input information for: the title of the run; simulation period; location and slope of the site; materials present and number of nodes; and aerodynamic roughness parameters. The next set of lines ("F-series" of lines) are needed only if plants or standing dead plant material are present for the simulation. This data is followed by: snow parameters ("G-series of lines); residue properties ("Line H") if surface residue is present; solute properties ("I-series" of lines) if solutes are to be considered; and soil properties ("J-series" of lines). Data required for each set of lines are listed below.

<u>Line A</u> TITLE	Descriptive title (< 80 characters)
Line B	
JSTART	Day of year on which simulation begins (may be 1 to 366)
HRSTAR	Hour on which simulation begins (may be 0 to 24)
YRSTAR	Year in which simulation begins
JEND	Day of year on which simulation ends
YREND	Year in which simulation ends

Line C

ALTDEG Latitude of study site (degrees)
ALTMIN Latitude of study site (minutes)

SLP  $\beta$  Slope of study site (%)

ASPEC  $a_s$  Aspect of slope (degrees clockwise from due north)

HRNOON  $t_0$  Time of solar noon. (Mid-point between sunrise and sunset; around 11.5 in the

eastern part of the time zone, 12.5 in the western part of the time zone.)

ELEV Elevation of site above sea level (m)

Line D

NPLANT Number of different plant species to be simulated. (Include all standing dead

plant material as one plant.)

NSP Number of nodes in snowpack at beginning of simulation

NR Number of desired residue nodes if residue does not change over the simulation (0

 $\leq$  NR  $\leq$  10); set equal to 0 for no surface residue during the simulation; set to 1 if residue properties change, even if a residue does not exist at the beginning of the

simulation.

NS Number of soil nodes  $(2 \le NS \le 99)$ 

NSALT Number of solute types to be simulated (NSALT  $\leq$  10)

TOLER Error tolerance for convergence criteria (°C for energy balance and fraction of

change in matric potential or vapor density for water; suggested value: .001 to .01)

NHRPDT Number of hours per time step (must be evenly divisible into 24 hours, i.e.:

1,2,3,4,6,8,12, or 24 hours)

LEVEL(1) Debugging output level: 0 = no debugging output is desired; 1 = profile summary

every iteration; and 2 = full debugging mode (fluxes, Newton-Raphson matrix,

etc.)

LEVEL(2) Day on which to stop debugging at LEVEL(1) and to start at LEVEL(4) LEVEL(3) Hour at which to stop debugging at LEVEL(1) and to start at LEVEL(4)

LEVEL(4) Secondary level of output (values identical to LEVEL(1))

LEVEL(5) Day on which to resume debugging at LEVEL(1)
LEVEL(6) Hour at which to resume debugging at LEVEL(1)

Line E

ZMCM  $z_m$  Wind-profile surface-roughness parameter for momentum transfer (cm) for the

residue or soil surface. (Typical value is 0.1 cm for a very smooth surface to 10

cm for a very rough surface.)

HEIGHT Measurement height for air temperature, windspeed and humidity (m). Typical

value is 2 or 3m, but it MUST be greater than any anticipated plant canopy height.

PONDMX Maximum depth of ponding for rainfall or snowmelt (cm)

Line F ("F-series of lines not included if NPLANT=0)

MCANFLG Flag controlling options for input of plant growth curves and node spacing. (0 =

no plant growth, i.e. leaf area index and plant height are constant for simulation, and model will determine node spacing within the canopy; 1 = input files for plant growth are specified for each plant and model will determine node spacing within the canopy; 2 = no plant growth and allows user to input spacing and parameters of plant canopy layers; 3 = input files for plant growth are specified for each plant and the user can specify desired heights above ground surface for canopy nodes.) Option 3 is intended for subsequent comparison with measurements of

		temperature and humidity at specified heights within the canopy.
ISTOMATE		Flag to select option for computing stomatal resistance; 1 = default computation of
		stomatal resistance as a function of leaf water potential; 2 = option for additional
		controls on stomatal conductance using Stewart-Jarvis type functions for solar
		radiation, air temperature and vapor pressure deficit.
CANMA	$a_c$	Coefficient for water potential of dead plant material: $\psi = a_c w_c^{-b}$ where $w_c$ is mass
		basis water content within canopy. (Suggested value: - 53.72 m)
CANMB	$b_c$	Exponent for water potential of dead plant material. (Suggested value: 1.32)
WCANDT	w	Initial water content of standing dead plant material (kg/kg). (If less than or equal
		to zero, the model will estimate initial value based on atmospheric humidity.)

#### Lines F-1 to F1-NPLANT

ITYPE(J)		Parameter specifying plant type for plant species $j$ : $1 = \text{transpiring plant}$ ; $0 = \text{dead}$
		plant material. (Only 1 dead plant is allowed.)
PINTRCP(J)		Maximum amount of precipitation that can be intercepted and stored on plant
		species j per unit of leaf area index. This value was set to 1 mm in SHAW 2.x;
		suggested value: 0 to 1 mm.
XANGLE(J)		Parameter specifying "x" parameter for leaf angle orientation of plant species j: 0
(-)		= vertical leaf angle orientation; 1 = random leaf orientation; values approaching 5
		will simulate a horizontal leaf angle orientation.
CANALB(J)	$\alpha_c$	Albedo of plant species $j$ (<1.0)
( )	-	
TCCRIT(J)	$T_c$	Temperature above which plant species <i>j</i> will transpire (°C). (Applicable only if
		$\underline{\text{ITYPE}(J)} > 0.$
RSTOM0(J)	$r_{so}$	Stomatal resistance of plant species $j$ with no water stress (s/m). Typical value:
, ,		100 s/m.
RSTEXP(J)	n	Empirical exponent relating actual stomatal resistance to leaf potential: $r_s = r_{so} [1 +$
,		$(\psi_P/\psi_c)^n$ ]. Typical value: 5
PLEAF0(J)	$\psi_c$	Critical leaf water potential for plant species <i>j</i> at which stomatal resistance is twice
( )	, -	its minimum value (m). Typical value: -100 m to -300 m.
RLEAF0(J)	$r_p$	Resistance of leaves for plant species $j$ (m <sup>3</sup> s kg <sup>-1</sup> ). Typical value: $1 \times 10^5$ m <sup>3</sup> s kg <sup>-1</sup> .
RROOT0(J)		Resistance of roots for plant species $j$ (m <sup>3</sup> s kg <sup>-1</sup> ). Typical value: $2x10^5$ m <sup>3</sup> s kg <sup>-1</sup> .
KKOO10(J)	$r_r$	Resistance of roots for plant species <i>f</i> (in s kg <sup>-1</sup> ). Typical value: 2x10° in s kg <sup>-1</sup> .

Approximately 2/3 of the total resistance to water flow through the plant is encountered in the roots while 1/3 is encountered in the leaves. Typical values of total plant resistance for some common plants are given below.

Plant	Total resistance
	$(m^3 s kg^{-1})$
Alfalfa	79,000
Aspen	500,000
Barley	650,000
Clover	135,000
Corn	123,000
creosote bush	2,940,000

Plant	Total resistance
	$(m^3 s kg^{-1})$
Douglas fir	99,000
fescue (grass)	115,000
rice	59,000 to 379,000
sagebrush	2,370,000
soybean	32,000 to 463,000
wheat	32,000 to 463,000

Lines Fa-1 to Fa-	NPLA	NT ("Fa-series" of lines applicable only if ISTOMATE=2)
STOMATE(J,1)		Parameter to control influence of solar radiation on stomatal conductance of plant species $j$ (W m <sup>-2</sup> ). $f(S_{t,i}) = S_{t,i}(1000 + K_{St})/(1000(S_{t,i} + K_{St}))$ where $S_{t,i}$ is the total solar radiation incident on canopy layer $i$ . $(K_{St} \ge 0;$ set to 0 for no influence of solar radiation on stomatal conductance.)
STOMATE(J,2)	$T_L$	Lower limit for transpiration; no stomatal conductance below this temperature (C). (Set to -999 for essentially no influence of temperature on stomatal conductance, except that there will be no transpiration below <u>TCCRIT(J)</u> in Line F- <i>j</i> )
STOMATE(J,3)	$T_H$	Upper limit for transpiration; no stomatal conductance above this temperature (C). (Set to +999 for essentially no influence of temperature on stomatal conductance.)
STOMATE(J,4)	$T_{Opt}$	Optimum temperature for transpiration (C); no reduction in stomatal conductance at this temperature
STOMATE(J,5)	$K_{VPD}$	Maximum reduction in stomatal conductance due to vapor pressure deficit. (0< $K_{VPD} \le 1.0$ ; set to 1.0 for no influence of vapor pressure deficit on stomatal conductance.)
STOMATE(J,6)	r	Coefficient for stomatal conductance due to vapor pressure deficit. $f(VPD) = K_{VPD} + [1 - K_{VPD}]r^{VPD}$ where $VPD$ is the vapor pressure deficit in kPa. $(0 < r \le 1.0)$
STOMATE(J,7)	$K_{\theta I}$	Control of stomatal conductance for water content is currently not available because it is implicitly controlled through leaf water potential; set to 0.0.
STOMATE(J,8)	$K_{\theta 2}$	Control of stomatal conductance for water content is currently not available; set to 0.0.
Lines F0-1 to F0-	NPLA	NT ("F0-series" of lines applicable only if MCANFLG=0)
PLTHGT(J)		Height of plant species j (m)

Characteristic dimension (i.e. width) of leaves of plant species *j* (cm) DCHAR(J)

Plant clumping parameter for radiation transfer. (1 = uniform vegetation;  $0 < \Omega < 1$ CLUMPNG(J)  $\Omega$ 

indicates varying degrees of clumping; a value of zero will practically eliminate

radiation interception by plants.)

Dry biomass of plant species j (kg/m<sup>2</sup>) PLTWGT(J) PLTLAI(J) Leaf area index of plant species *j* 

ROOTDP(J) Effective rooting depth of plant *j* (m); value is not used in case of standing dead

material ( $\underline{ITYPE} = 0$ ), but a value must still be present.

#### <u>Line F1-1 to F1-NPLANT</u> ("F1-series" of lines applicable only if <u>MCANFLG</u>=1) IFILE(J) Input file for growth or changing condition of plant species *j*

Line F2 ("F2-series" of lines applicable only if MCANFLG=2) NC Number of desired canopy nodes (NC  $\leq$  10)

#### <u>Lines F2a-1 to F2a-NC</u> ("F2-series" of lines applicable only if <u>MCANFLG</u>=2)

ZC(I) Distance of node i from top of canopy; ZC(1) must be 0.0 (m)

Characteristic dimension of leaves of plant species *j* (cm). (For a given plant DCHAR(J)  $d_c$ 

species, the value of this parameter will be the same for all canopy layers.)

Plant clumping parameter for radiation transfer of plant species j. (1 = uniform CLUMPNG(J)  $\Omega$ 

vegetation;  $0 < \Omega < 1$  indicates various degrees of clumping.)

Dry biomass of plant j in canopy layer i (kg/m<sup>2</sup>) DRYCAN (J,I) Leaf area index of plant *j* in canopy layer *i* CANLAI(J,I) L

Repeat [DCHAR(J), CLUMPNG(J), DRYCAN(J,I), CANLAI(J,I)] on each line for each plant species, i.e., J = 1 to NPLANT

<u>Lines F2a-NC+1</u> (Include only if <u>MCANFLG</u>=2)

ZC(NC+1) Distance from top of canopy to residue or soil surface (m)

Line F2b to F2b-NPLANT ("F2b-series" of lines applicable only if MCANFLG=2)

ROOTDN(J,I) I=1 to NS Fraction of the total roots for plant species J in soil layer I. Each line will

have a value for each soil layer; one line for each plant species. A line is needed for the standing dead material, but values are not used, therefore a

line of zeroes will suffice.

<u>Line F3-1 to F1-NPLANT</u> ("F3-series" of lines applicable only if <u>MCANFLG</u>=3)

IFILE(J) Input file for growth or changing condition of plant species *j* 

<u>Line F3a</u> ("F3a-series" of lines applicable only if <u>MCANFLG</u>=3)

NC Number of desired canopy nodes (NC  $\leq$  10). Excess nodes above maximum

canopy height are ok, but will not be used. For dense canopies, the user should distribute extra nodes within the canopy space so that each layer does greatly exceed an LAI of 0.5. (You may want to adjust the values of <u>LVLOUT(7)</u> and

<u>LVLOUT(8)</u> based on the value of NC.)

Line F3b ("F3b" of line applicable only if MCANFLG=3)

HEIGHTS(I), I=1,NC Height from ground surface for desired placement of canopy nodes. HEIGHT(1)

is nearest the ground (but > 0) and HEIGHT(NC) should be  $\ge$  the maximum canopy height during the simulation. The model will use as many nodes as necessary to accommodate the canopy height. Actual placement of the bottom node by the model may vary depending on snow depth; actual placement of the highest node used by the model at any given time will equal the actual height of the canopy. Extra nodes above the actual height of the canopy will not be used.

(Number of heights listed must equal NC.)

Line G

ISNOTMP Flag to indicate whether the threshold temperature for snow (SNOTMP) is based

on air temperature or wet bulb temperature. 1= air temperature; 2 = wet bulb

temperature.

SNOTMP Maximum temperature at which precipitation is snow (unless density of snow is

supplied in weather data file) (°C)

ZMSPCM  $z_m$  Wind-profile roughness parameter for momentum transfer with snowcover (cm);

suggested value for smooth snow surface: 0.15 cm

ISNOPARM Flag allowing input of selected snow parameters. (Option is not currently

available; set to 0).

<u>Lines G1-1 to G1-NSP</u> (not included if  $\overline{NSP} = 0$ )

DZSP(I) Thickness of snow layer i at beginning of simulation (m) TSPDT(I) Temperature of snow layer i at beginning of simulation ( ${}^{\circ}$ C)

DLWDT(I) Depth of liquid water stored in snow layer i (m)

RHOSP(I)  $\rho_{sp}$  Bulk density of ice fraction in layer i at beginning of simulation (kg/m<sup>3</sup>)

<u>Line H</u> (Omit if NR=0)

NRCHANG Flag indicating whether the residue changes over time are input to the model (0 =

residue parameters are assumed constant; 1 = changes in residue cover are input to

the model.)

GMCDT  $w_r$  Initial gravimetric water content of residue at start of simulation (kg/kg). (If input

is less than or equal to zero, the model will estimate initial value based on soil

matric potential.)

<u>Line H1</u> (Omit if <u>NR</u>=0 or if <u>NRCHANG</u>=1)

ZRTHIK Thickness or depth of residue layer (cm)

RLOAD W Dry weight of residue on surface (kg/ha)

COVER Fraction of surface covered by residue

ALBRES  $\alpha_r$  Albedo of residue; suggested value: 0.25

RESCOF  $1/K_r$  Resistance to vapor transfer (s/m) between residue elements and air voids in

residue layer i; suggested value 1000-50,000 s/m. (If moisture content of residue layer is not a concern in the simulation, larger resistance values will improve

convergence with little effect on the overall simulation.)

RESTKB  $k_{rb}$  Parameter for the influence of windspeed at surface of residue layer on the transfer

of heat and vapor through the residue layer. (Suggested values: 4.0 for wheat residue; 8.5 for larger residue elements such as corn stalks lying horizontal.)

<u>Line H2</u> (Omit if <u>NR</u>=0 or if <u>NRCHANG</u>=0)

IFILE Input file for changing residue conditions

<u>Line I-1</u> ("I-series" of lines repeated for each type of solute; omit if  $\underline{NSALT} = 0$ )

SLTDIF(I)  $D_o$  Diffusion coefficient for solute i at  $0^{\circ}$ C (m<sup>2</sup>/s)

HALFLIF Half-life of solute *i* in the soil environment (days). (Enter zero if the solute does

not degrade over time.

Line I-2

SALTKQ(I,J)  $K_d$  Partitioning coefficient between soil matrix and soil solution (kg/kg) for solute i in

soil layer *j* (one value for each soil layer). Values depend on solute and soil type and range from near 0 for chloride, which is not bound to soil particles to about 60

for potassium which is tightly bound to soil particles

Line I-3

SALTDT(I,J) S Moles of solute i per kg of soil in layer j (one value for each soil layer)

Line J1

IVLCBC Flag indicating boundary condition for water flow at bottom of profile: 0 =

specified water content; 1 = unit gradient assumed for water flow at lower boundary. (A no-flow lower boundary may be specified by setting saturated

hydraulic conductivity, **SATCON**, at the lower boundary to zero.)

ITMPBC Flag indicating boundary condition for temperature at bottom of profile: 0 = model

will track temperature for bottom soil node in <u>Temperature Profile Data File</u>; 1 = boundary temperature estimated by model based soil temperature response above the lower boundary and assumed constant temperature (<u>TSAVG</u>) below the boundary; 2 = no heat flux at the lower boundary (usually used for laboratory column experiments). (If lower temperatures are not available, most accurate simulations may be obtained by extending profile to a depth where temperature

can be assumed constant and approximated by mean annual air temperature.)

ALBDRY  $\alpha_d$  Albedo of dry soil (<1.0). Typical values: 0.15 to 0.30.

ALBEXP  $a_{\alpha}$  Exponent for calculating albedo of moist soil:  $\alpha = \alpha_{d} \exp(-a_{\alpha} \theta_{l})$ . Typical values:

0 to 3.5.

IWRC Flag to select equation for the water release curve: 1 = Campbell equation; 2 =

Brooks-Corey equation; 3 = van Genuchten equation; 4 = Kosugi equation.

IPHANTOM Flag to allow model to insert additional soil nodes if the layering is deemed too

sparse. (Option is currently not available; set to 0).

#### Lines J2 (Omit if ITMPBC does not equal 1)

TSAVG Average annual soil temperature (C). This may be approximated by the average

annual air temperature and is used to estimate soil temperature at the lower

boundary.

# Lines J3-1 to J3-NS when IWRC = 1 (Campbell equation) ZS(I) Denth in meters of soil node i: ZS(1) must be 0.0 (m)

ZS(1)	Depth in meters of son node i, 25(1) must be 0.0 (m)
SAND(I)	Percent by weight of the sand, silt and clay in soil layer <i>i</i> that is sand

SILT(I) Percent by weight of the sand, silt and clay in soil layer *i* that is salt CLAY(I) Percent by weight of the sand, silt and clay in soil layer *i* that is clay ROCK(I) Percent by weight of soil material in layer *i* that is rock or gravel OM(I) Percent by weight of soil material in layer *i* that is organic matter

RHOB(I)  $\rho_b$  Bulk density in kg/m<sup>3</sup> of soil layer i (kg/m<sup>3</sup>) SATCON  $K_s$  Saturated conductivity for soil layer i (cm/hr)

SATKL  $K_{s-lat}$  Lateral saturated conductivity for lateral sub-surface flow exitin soil layer i

(cm/hr). When soil layer is saturated, lateral flow exiting layer is computed based

slope and  $K_{s-lat}$ 

SOILWRC(I,1)  $\psi_e$  Air-entry potential in meters for soil layer i (m)

SOILWRC(I,2)  $\theta_s$  Saturated volumetric moisture content (if greater than calculated porosity,  $1_s$  is set

equal to porosity)

SOILWRC(I,3) *b* Cambell's pore-size distribution index for soil layer *i*;  $\psi = \psi_e [\theta / \theta_s]^{-b}$ 

ASALT(I)  $\tau$  Molecular diffusion parameter for solutes in soil layer i; not required if NSALT=0

DISPER(I)  $\kappa$  Parameter for hydrodynamic dispersion coefficient (m); not required if NSALT=0

#### Lines J3-1 to J3-NS when IWRC = 2 (Brooks-Corey equation)

70(I)	D 41 '	C '1	1 . 70(1)	(1 ()
ZS(I)	Denth in meters	s of soil r	iode i. ZSCL	) must be 0.0 (m)

SAND(I) Percent by weight of the sand, silt and clay in soil layer *i* that is sand SILT(I) Percent by weight of the sand, silt and clay in soil layer *i* that is silt CLAY(I) Percent by weight of the sand, silt and clay in soil layer *i* that is clay ROCK(I) Percent by weight of soil material in layer *i* that is rock or gravel OM(I) Percent by weight of soil material in layer *i* that is organic matter

RHOB(I)  $\rho_b$  Bulk density in kg/m<sup>3</sup> of soil layer i (kg/m<sup>3</sup>) SATCON  $K_s$  Saturated conductivity for soil layer i (cm/hr)

SATKL  $K_{s-lat}$  Lateral saturated conductivity for sub-surface flow in soil layer i (cm/hr). When

soil layer is saturated, lateral flow exiting layer is computed based slope and  $K_{s-lat}$ 

SOILWRC(I,1)  $\psi_e$  Air-entry potential in meters for soil layer i (m)

SOILWRC(I,2)  $\theta_s$  Saturated volumetric moisture content (if greater than calculated porosity,  $\theta_s$  is set

equal to porosity)

SOILWRC(I,3)  $\lambda$  Brooks-Corey pore-size distribution parameter (typically < 1.0). If values are

greater than 1.0, ensure that form of equation matches that used in the model:  $\psi =$ 

 $\psi_{\rm e} \left[ (\theta - \theta_{\rm r}) / (\theta_{\rm s} - \theta_{\rm r}) \right]^{-1/\lambda}$ 

SOILWRC(I,4)	$\theta_r$	Residual volumetric moisture content			
SOILWRC(I,5) l		Pore-connectivity parameter; assumed to be 2.0 in the original Brooks and Corey model; $K = K_s(\psi/\psi_e)^{-(\lambda(l+2)+2)}$			
ASALT(I) DISPER(I)	τ κ	Molecular diffusion parameter for solutes in soil layer $i$ ; not required if NSALT=0 Parameter for hydrodynamic dispersion coefficient (m); not required if NSALT=0			
Lines J3-1 to J3	S-NS wh	nen IWRC = 3 (Van Genuchten equation)			
ZS(I)		Depth in meters of soil node $i$ ; ZS(1) must be 0.0 (m)			
SAND(I)		Percent by weight of the sand, silt and clay in soil layer <i>i</i> that is sand			
SILT(I)		Percent by weight of the sand, silt and clay in soil layer <i>i</i> that is silt			
CLAY(I)		Percent by weight of the sand, silt and clay in soil layer <i>i</i> that is clay			
ROCK(I)		Percent by weight of soil material in layer <i>i</i> that is rock or gravel			
OM(I)		Percent by weight of soil material in layer <i>i</i> that is organic matter			
RHOB(I)	$ ho_b$	Bulk density in kg/m <sup>3</sup> of soil layer $i$ (kg/m <sup>3</sup> )			
SATCON	$K_s$	Saturated conductivity for soil layer <i>i</i> (cm/hr)			
	$K_{s-lat}$	Lateral saturated conductivity for sub-surface flow in soil layer $i$ (cm/hr). When soil layer is saturated, lateral flow exiting layer is computed based slope and $K_{s-lat}$			
SOILWRC(I,1)	$\psi_e$	Air-entry potential in meters for soil layer $i$ ; set $\psi_e$ equal to zero for Van Genuchten equation (m)			
SOILWRC(I,2)	$\theta_s$	Saturated volumetric moisture content (if greater than calculated porosity, $\theta_s$ is set equal to porosity)			
SOILWRC(I,3)	n	Empirical exponent in Van Genuchten equation			
SOILWRC(I,4)	$\theta_r$	Residual volumetric moisture content			
SOILWRC(I,5)	l	Pore-connectivity parameter in the Van Genuchten equation; estimated to			
, ,		be 0.5 for an average of many soils (Mualem, 1976).			
SOILWRC(I,6)	α	Empirical coefficient in Van Genuchten equation (m <sup>-1</sup> )			
ASALT(I)	τ	Molecular diffusion parameter for solutes in soil layer <i>i</i> ; not required if <u>NSALT</u> =0			
DISPER(I)	κ	Parameter for hydrodynamic dispersion coefficient (m); not required if <u>NSALT</u> =0			
Lines J3-1 to J	I3-NS v	when IWRC = 4 (Kosugi equation)			
ZS(I)		Depth in meters of soil node $i$ ; ZS(1) must be 0.0 (m)			
SAND(I)		Percent by weight of the sand, silt and clay in soil layer <i>i</i> that is sand			
SILT(I)		Percent by weight of the sand, silt and clay in soil layer <i>i</i> that is silt			
CLAY(I)		Percent by weight of the sand, silt and clay in soil layer i that is clay			
ROCK(I)		Percent by weight of soil material in layer <i>i</i> that is rock or gravel			
OM(I)		Percent by weight of soil material in layer <i>i</i> that is organic matter			
	$ ho_b$	Bulk density in kg/m <sup>3</sup> of soil layer $i$ (kg/m <sup>3</sup> )			
` '	$K_s$	Saturated conductivity for soil layer <i>i</i> (cm/hr)			
SATKL	$K_{s-lat}$	Lateral saturated conductivity for sub-surface flow in soil layer <i>i</i> (cm/hr).			
STITLE	115-lai	When soil layer is saturated, lateral flow exiting layer is computed based slope and $K_{s-lat}$			
SOILWRC(I,1	) ψ <sub>e</sub>	Air-entry potential in meters for soil layer $i$ ; set $\psi_e$ equal to zero for Kosugi equation (m)			
SOILWRC(I,2	2) $\theta_s$	Saturated volumetric moisture content (if greater than calculated porosity,			
SOILWRC(I,3	β) σ	$\theta_s$ is set equal to porosity)  Parameter characterizing the width of the pore radius distribution. Small			

values result in steep retention curve at  $\psi_{m}$ . (Typical values are 0.6 for sand

to 2.0 for clay.)

SOILWRC(I,4)  $\theta_r$  Residual volumetric moisture content

SOILWRC(I,5)  $\psi_m$  Matric potential at which effective saturation is 0.5. (Typical values are

-0.4 m for sand to -7.0 for clay.)

ASALT(I)  $\tau$  Molecular diffusion parameter for solutes in soil layer i; not required if

NSALT=0

DISPER(I)  $\kappa$  Parameter for hydrodynamic dispersion coefficient (m); not required if

NSALT=0

# **Plant Growth Files (Optional)**

Plant growth files are required only if MCANFLG=1 or 3 (Line F of the Site Characteristics File). One file is required for each plant type, including any standing dead plant material. The name of each file is specified in Lines F3 of the Site Characteristics File. Each line of the plant growth file will contain the plant characteristics for a given day. The model will interpolate values between given days. If a plant is not present for any part of the simulation, a value of zero may given for the leaf area index and plant height. Unlike the temperature and moisture input files, data need not be present for the day on which the simulation begins; the model will interpolate between days to obtain initial conditions at the start of the simulation. Values given in the plant growth files are <u>not</u> adjusted for plant stress or growth-limiting conditions. Each line of the file should contain the following data:

JDAY Day of year

JYR Year for observed plant characteristics PLTHGT(J) Height of plant species *j* on day JDAY (m)

DCHAR(J) Characteristic dimension of leaves of plant species j on day JDAY (cm)

CLUMPNG(J)  $\Omega$  Plant clumping parameter for radiation transfer. (1 = uniform vegetation; 0<  $\Omega$ <1

indicates various degrees of clumping.)

PLTWGT(J) Dry biomass of plant species j on day JDAY (kg/m2)
PLTLAI(J) Leaf area index of plant species j on day JDAY
ROOTDP(J) Effective rooting depth of plant j on day JDAY (m)

# **Surface Residue File (Optional)**

A residue parameter file is required only if <u>NRCHANG</u>=1 (Line H of the Site Characteristics File). The file describes the change in residue cover over time. The name of the file is specified in Lines H-2 of the Site Characteristics File. Each line of the surface residue file will contain the residue characteristics for a given day. The model will interpolate values between given days. If a residue is not present for any part of the simulation, a value of zero may given for the thickess of the residue layer. Unlike the temperature and moisture input files, data need not be present for the day on which the simulation begins; the model will interpolate between days to obtain initial conditions at the start of the simulation. Each line of the file should contain the following data:

JDAY Day of year

JYR Year for observed plant characteristics

ZRTHIK Thickness or depth of residue layer on day JDAY (cm)
RLOAD W Dry weight of residue on surface on day JDAY (kg/ha)
COVER Fraction of surface covered by residue on day JDAY

ALBRES  $\alpha_r$  Albedo of residue on day JDAY; suggested value: 0.25

RESCOF  $1/K_r$  Resistance to vapor transfer on day JDAY (s/m) between residue elements and air

voids in residue layer i; suggested value 1000-50,000 s/m. (If moisture content of residue layer is not a concern in the simulation, larger resistance values will

improve convergence with little effect on the overall simulation.)

RESTKB  $k_{rb}$  Parameter for the influence of windspeed at surface of residue layer on the transfer

of heat and vapor through the residue layer. (Suggested values: 4.0 for wheat

residue; 8.5 for larger residue elements such as corn.)

# Soil Source/Sink File (Optional)

The soil source/sink file is used only if <a href="MWATRXT">MWATRXT</a> (Line A in the List of Input/Output Files) is set to 1 and is not necessary for most model applications. The purpose of the file is to give the user the option to artificially extract (positive) or introduce (negative) water within the soil profile. Examples of where this might be useful is for: sub-surface irrigation; water seeping into the soil profile; direct input of the <a href="output of water extracted by plant roots">output of seeping into the soil profile</a>; direct input of water extracted by plant roots from a previous run (<a href="Line C-12">Line C-12</a> in the List of Input/Output files; direct input of the <a href="output of lateral sub-surface flow">output of lateral sub-surface flow</a> from a previous run (<a href="Line C-13">Line C-12</a> in the List of Input/Output files). Water extraction from a layer will be limited within the model by the water available within that layer. Introduction of water into the profile is not limited by the model; thus, the user is cautioned that excessive water introduction may cause numerical problems. Input values for each soil layer are assumed to be the cumulative depth of water extracted between observations. Water extracted for each time step between observations will be computed and will be assumed constant. Unlike the temperature and moisture input files, data need not be present for the day on which the simulation begins; there needs to be at least one observation on or before the beginning date of simulation and at least one on or after the ending date.

JDAY Day of the year

JHR Hour at which temperatures were read JYR Year during which temperatures were read

SOILXT(I) Cumulative depth of water (m) extracted from for each soil layer (I=1 to the

number of soil nodes, NS) between current day and hour (JDAY and JHR) and the day and hour on the previous line of data. (I=1 to the number of soil nodes, NS,

i.e. one value for each soil node.)

# **Sample Input Files**

# List of Input/Output Files

```
Shaw 3.0
0 1 0 0
TRIAL.30.SIT
TRIAL.30.WEA
TRIAL.MOI
TRIAL.TEM
                24
                     0
                               0
                                        24
                                            0
                                               0
                                                   0
                                                       6
                                                          0
                                                              0
                                                                     0
  24
                        0
                            0
                                    1
                                                                 1
                                                                            24
out.out
temp.out
moist.out
liquid.out
matric.out
cantmp.out
canhum.out
snowtmp.out
energy.out
water.out
wflow.out
rootxt.out
lateral.out
frost.out
salts.out
solute.out
ShawPest.out
extra1.out
extra2.out
   0
        1
             0
                 0
                     0
                        0
                            0
                               0
                                    0
                                         0
                                            0
                                                0
                                                   0
                                                       0
                                                          0
                                                              0
                                                                 2
                                                                     0
                                                                        0
                                                                            24
Trial.tem
                            7 9998
          350
                86
                     0
                        \cap
 338
       86
                                     1
      2
         3
             5
                    7
                       8
                6
      2
         3
             5
                6
                       8
```

#### **Moisture Profile Data**

```
86 0.352 0.352 0.337 0.354 0.280 0.290 0.293 0.316 0.364 0.335 0.365
323
         86 0.385 0.385 0.366 0.402 0.296 0.317 0.417 0.440 0.503 0.443 0.425
     12
         86 0.374 0.374 0.365 0.381 0.270 0.290 0.297 0.295 0.336 0.334 0.343
338
349
     12
         86 0.465 0.465 0.353 0.367 0.295 0.310 0.325 0.352 0.433 0.427 0.437
365
     12
         86 0.417 0.417 0.350 0.375 0.294 0.311 0.317 0.336 0.376 0.354 0.377
 14
     12
         87
            0.419 0.419 0.335 0.361 0.293 0.301 0.311 0.330 0.372 0.345 0.372
 28
           0.469 0.469 0.364 0.398 0.307 0.318 0.318 0.333 0.372 0.345 0.373
     12
         87
 43
     12
         87 0.351 0.351 0.357 0.394 0.302 0.324 0.323 0.339 0.381 0.361 0.392
     12
         87 0.364 0.364 0.352 0.391 0.303 0.313 0.321 0.338 0.375 0.356 0.378
```

# **Temperature Data**

```
7.1
308
      18
          86
               5.7
                                     7.8
                                            8.6
                                                   9.5
                                                         10.3
                                                                 10.7
                       6.4
                                                                        11.3
                                                                               11.8
                                                                                      12.2
308
      21
               5.3
                       6.3
                              7.1 99999
                                            8.6
                                                   9.4
                                                         10.3
                                                                 10.7
                                                                        11.3
                                                                                      12.2
          86
                                                                               11.8
309
       0
          86
               5.3
                       6.2
                              7.0 99999
                                            8.5
                                                   9.4
                                                         10.2
                                                                 10.7
                                                                        11.3
                                                                               11.8
338
       0
                      1.9
                              2.8 99999
                                                   5.4
                                                           6.2
                                                                  6.7
                                                                         7.5
                                                                                        9.4
          86
               1.1
                                            4.4
                                                                                8.4
                              2.8 99999
                                                                                        9.4
          86
               0.5
                                            4.5
338
       6
                      1.7
                                                   5.4
                                                           6.2
                                                                  6.7
                                                                         7.4
                                                                                8.4
338
       9
          86
               0.4
                              2.6 99999
                                            4.3
                                                   5.3
                                                           6.2
                                                                         7.4
                                                                                8.4
                                                                                        9.4
                      1.5
                                                                  6.7
338
      12
                       2.1
                                                                                        9.4
          86
               2.7
                              2.8
                                     3.7
                                            4.6
                                                    5.4
                                                           6.2
                                                                  6.7
                                                                         7.5
                                                                                8.4
339
      18
          86
               1.9
                       2.3
                              3.0
                                  99999
                                            4.3
                                                    5.2
                                                           6.0
                                                                  6.5
                                                                         7.3
                                                                                8.3
                                                                                        9.3
                       2.2
                                                                                        9.3
339
      24
          86
               1.9
                              3.0
                                  99999
                                            4.3
                                                    5.2
                                                           6.0
                                                                  6.4
                                                                         7.3
                                                                                8.3
                                                                         7.3
      12
                       2.3
                                                                                        9.2
340
          86
               2.1
                              3.0 99999
                                            4.4
                                                    5.1
                                                           5.9
                                                                  6.4
                                                                                8.2
                              1.2 99999
                                            2.5
                                                                                7.0
353
          86 -0.3
                       0.3
                                                   3.5
                                                                         5.9
                                                                                        8.2
       6
                                                           4.4
                                                                  5.0
                              1.2 99999
353
      12
          86
               0.1
                       0.4
                                            2.6
                                                   3.5
                                                           4.4
                                                                  5.0
                                                                         5.9
                                                                                7.0
                                                                                        8.2
           86
353
      18
               0.1
                       0.4
                              1.2 99999
                                            2.5
                                                    3.5
                                                           4.4
                                                                  4.9
                                                                         5.9
                                                                                7.0
                                                                                        8.2
354
       0
          86 -0.5
                       0.4
                              1.1 99999
                                            2.6
                                                    3.5
                                                           4.3
                                                                  4.9
                                                                         5.8
                                                                                7.0
                                                                                        8.1
```

## **Hourly Weather Data**

Hourly weather format is used when MTSTEP (Line A in List of Input/Output Files) is set to 0 or 2.

311 311 311 311 311 311	0 1 2 3 4 5	86 86 86 86 86	1.4 1.1 0.7 0.4 0.3	3.82 4.91 4.59 4.81 5.66 6.35	98.7 100.5 100.7 100.9 100.7 100.1	0.0 0.0 0.0 0.0 0.0	0 0 0 0	0.0 0.0 0.0 0.0 0.0
338 338 338 338 338 338 338 338 338 338	0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 0	888888888888888888888888888888888888888	-0.8 -0.6 -0.5 -0.3 -0.7 -1.0 -1.4 -1.3 -1.1 -1.0 -0.4 0.1 0.7 1.0 1.2 1.5 1.0 0.5 0.0 -0.1 -0.1 -0.2 0.3 0.9 1.4	1.29 0.93 0.31 0.81 1.75 2.34 1.51 1.26 1.37 1.02 0.65 1.19 0.72 0.82 1.66 1.31 0.85 0.47 1.36 1.31 0.08 0.20 0.02 2.24 3.16	99.9 99.9 99.4 98.9 97.1 98.7 97.9 96.3 95.7 95.9 93.1 90.4 91.5 96.4 99.5 100.1 100.0 99.9 97.5			-0.2 -0.2 -0.2 -0.1 -0.1 -0.1 -0.1 8.8 55.6 123.4 157.7 162.2 160.4 131.4 58.6 16.4 0.2 -0.1 -0.2 -0.1 -0.2
365 365 365 1	21 22 23 0	86 86 86 87	0.2 0.1 0.0 -0.1	4.80 7.01 8.51 9.09	80.6 85.3 85.3 87.8	0.0 0.0 0.0	0 0 0 0	0.0 0.0 0.0

## **Daily Weather Data**

Daily weather format is used when MTSTEP (Line A in List of Input/Output Files) is set to 1.

```
0.0
337 86
                -1.8
                       -1.5
                              1.62
                                    0.0
                                           14.2
338 86
          1.5
                -1.4
                       -1.5
                             1.14
                                    0.0
                                          36.4
339 86
                -1.9
                       -0.5
                                          11.5
          2.7
                             1.78
                                    8.9
340 86
         -1.5
                -2.0
                       -1.5
                              0.84
                                    0.0
                                          22.2
                -2.0
-8.0
                       -1.4
-3.0
341 86
         -1.2
                                          23.0
                              0.37
                                    0.0
         -1.1
342 86
                              1.07
                                    0.5
                                          49.5
         -2.4
                       -8.2
343 86
                -8.0
                              1.20
                                    0.0
                                          54.5
                -8.8
         -1.1
                       -9.1
344 86
                              1.21
                                    0.0
                                          72.1
                                    0.0
345 86
         -4.9
                -7.6
                       -4.9
                             0.42
                                          24.1
346 86
         -0.2
                -5.4
                       -3.6
                             0.92
                                    1.3
                                          18.3
347 86
         1.7
                -3.0
                       -3.0
                             2.76
                                    3.0
                                          29.3
348 86
         2.8
                0.2
                       0.2
                                   1.5
                             3.32
                                          54.0
349 86
         1.6
                -3.3
                       -1.6 3.05
                                    0.0
                                          72.5
350 86
         -2.2
                -4.2
                       -3.0 1.12
                                    0.0
                                          29.2
```

#### **Site Characteristics**

```
PLOT 2 NT, A NO TILL, HEAVY RESIDUE PLOT (SITE CHARACTERISTICS) LINE A
338 12 86 349 86
                                                                   LINE B
 46 45 15.0 180. 12.0 750.
                                                                   LINE C
 0 0 2 11 0 0.001 1
                              0
                                  Ω
                                      Ω
                                          1
                                                Ω
                                                    0
                                                                   LINE D
 0.6 2.0 0.00
                                                                   LINE E
                                                   ***** SNOW
 0.0 .15
                                                                   LINE G
 0 1.50
                                                   ***** RESIDUE LINE H
 2.0 6000. 0.90 0.40 50000. 4.0
                                                                   LINE H-1
                                                  ***** SOIL
 0 0 0.25 0.0 1
                                                                   LINE J1
  0.000 10.64.26.0.0 2.8 1360. 0.12 0.0
                                                -0.20 0.60 4.35
                                                                   LINE J3-1
  0.076 10.64.26.0.0 0.0 1360. 0.12 0.0
                                                -0.20 0.60 4.35
                                                                  LINE J3-2
  0.152 10.64.26.0.0 0.0 1350. 0.12 0.0
                                                -0.20 0.60 4.35
                                                                 LINE J3-3
        10. 64. 26. 0.0 0.0 1350 0.12 0.0 -0.20 0.60 4.35
  0.254
                                                                 LINE J3-4
  0.381
        10. 57. 33. 0.0 0.0 1350. 0.14 0.0 -0.21 0.60 5.10 LINE J3-5
  0.533 10.57.33.0.0 0.0 1400. 0.13 0.0 -0.21 0.60 4.90 LINE J3-6
  0.686 12.60.28.0.0 0.0 1540. .078 0.0 -0.27 0.60 4.80 LINE J3-7
  0.838 12.60.28.0.0 0.0 1600. .040 0.0
                                                -0.39 0.60 5.20 LINE J3-8
        6. 54. 35. 0.0 0.0 1660. .020 0.0 -0.55 0.60 5.70 LINE J3-9 9. 67. 24. 0.0 0.0 1520. .060 0.0 -0.31 0.60 5.10 LINE J3-1 9. 65. 26. 0.0 0.0 1490. .103 0.0 -0.24 0.60 4.40 LINE J3-1
  1.067
  1.372
                                                                   LINE J3-10
  1.676
                                                                   LINE J3-11
```

```
SITE WITH HEAVY RESIDUE AND SAGEBRUSH CANOPY AND TWO SOLUTES
                                                                                              LINE A
 338 12 86 350 86
                                                                                              LINE B
 46 45  15. 270.0 12.0 1970.
1 0 1 11 2 00.001 1 0
                                                                                              LINE C
                                          0 0
 0.6 2.0 0.00
                                                                                              LINE E
 0 -53.72 1.32 1.0 ***** CA
1 1.0 0.25 7.0 100. 5.0 -300. 6.7E05 1.7E06
0.90 0.5 1.0 1.5 2.5 1.0
                                                              **** CANOPY
                                                                                              LINE F
                                                                                              LINE F1-1
                                                                                             LINE F2-1
                                                              ***** SNOW
 1.0 .15
                                                                                              LINE G
 0 0.00
                                                              ***** RESIDUE
                                                                                              LINE H
 2.0 6000. 0.90 0.30 50000. 4.0
                                                                                              LINE H-1
                                                              ***** SALT #1
 1.76E-09 0.0
                                                                                              LINE I-1
 11*5.6
                                                                                              LINE I-2
 11*0.008
                                                                                              I_{1}INE_{1}I = 3
                                                              ***** SALT #2
 9.00E-09 100.
                                                                                              LINE I-1
 11*0.0
                                                                                              LINE I-2
11*0.007
                                                                                              LINE I-3
                                                             ***** SOIL
  0 0 0.15 0.0 1
                                                                                              LINE J1
 0.00 10.60.30. 0.0 0.0 1020. 1.16 0.0 -0.31 0.60 4.5
                                                                                2.8 .005 LINE J3-1
0.05 10.60.30. 0.0 0.0 1020. 1.16 0.0 -0.31 0.60 4.5 0.10 10.60.30. 0.0 0.0 1020. 1.14 0.0 -0.34 0.60 4.4 0.15 10.60.30. 0.0 0.0 1100. 1.18 0.0 -0.35 0.60 4.4
                                                                                2.8 .005 LINE J3-2
                                                                                 2.8 .005
                                                                                              LINE J3-3
                                                                                              LINE J3-4
                                                                                 2.8 .005
 0.20 10.60.30. 0.0 0.0 1100. 1.18 0.0 -0.35 0.60 4.4
                                                                                 2.8 .005 LINE J3-5
0.30 10.60.30.
0.50 10.60.30.
0.70 10.60.30.
                             0.0 1100. 1.18 0.0 -0.35
0.0 1100. 1.18 0.0 -0.35
0.0 1090. 1.54 0.0 -0.39
                       0.0
                                                                   0.60 4.4
                                                                                 2.8 .005
                                                                                              LINE J3-6
                        0.0
                                                                   0.60 4.4
                                                                                  2.8 .005
                                                                                              LINE J3-7
                                                    0.0 -0.39 0.60 4.1
                                                                                 2.8 .005 LINE J3-8
                        0.0
1.00 10.60.30. 0.0 0.0 1090. 1.54 0.0 -0.39 0.60 4.1 1.25 10.60.30. 0.0 0.0 1290. 3.09 0.0 -0.40 0.60 3.9 1.50 10.60.30. 0.0 0.0 1290. 3.09 0.0 -0.40 0.60 3.9
                                                                                 2.8 .005 LINE J3-9
                                                                                 2.8 .005
                                                                                              LINE J3-10
                                                                                 2.8 .005 LINE J3-11
```

```
SIMULATION FOR ASPEN TREES WITH UNDERLYING GRASS COVER
 250 24 89 250 90
 46 45 15. 45.0 12.0 1970.
 3 0 2 14 0
                   00.001 1
                                      0
                                           0
                                               0
                                                    1
                                                        \cap
                                                             0
 0.6 6.5 0.00
                                                        **** CANOPY
      -53.72 1.32
                       0.0
           0.25 99.0 100.
0.25 7.0 100.
                                5.0
                                       -100.
     1.0
                                                1.70E5
                                                         3.30E5
                                5.0
                                       -100.
                                                1.70E5
     1.0
                                                         3.30E5
                    7.0
            0.25
                         100.
                                5.0
                                       -100.
                                                         7.70E4
     1.0
                                                3.80E4
 limbs.890
 aspen.890
 grass.890
                                                        ***** SNOW
  1.0 .15
                                                        ***** RESIDUE
 0.00
       6000. 0.90 0.30 50000.
                                   4.0
 5.0
       0.15 0.0 1
    0
                                                        ***** SOIL
       10. 60. 30. 0.0 0.0 1020. 1.16 0.0 10. 60. 30. 0.0 0.0 1020. 1.14 0.0
                                                                    2.8 .005
 0.00
                                                -0.31
                                                        0.60 4.5
 0.05
                                                -0.34
                                                        0.60
                                                               4.4
                                                                     2.8 .005
       10. 60. 30. 0.0 0.0 1020. 1.14 0.0
                                                -0.34
                                                                     2.8 .005
 0.10
                                                        0.60
                                                               4.4
       10. 60. 30. 0.0 0.0 1020. 1.14 0.0
                                                                     2.8 .005
 0.15
                                                -0.34
                                                        0.60
                                                               4.4
       10. 60. 30. 0.0 0.0 1100. 1.18 0.0
 0.20
                                                -0.35
                                                        0.60
                                                               4.4
                                                                     2.8 .005
 0.30
       10. 60. 30. 0.0 0.0 1100. 1.18 0.0
                                                -0.35
                                                        0.60
                                                               4.4
                                                                     2.8 .005
 0.50
       10. 60. 30. 0.0 0.0 1100. 1.18 0.0
                                                -0.35
                                                        0.60
                                                               4.4
                                                                     2.8 .005
       10. 60. 30. 0.0 0.0 1090. 1.54 0.0
                                                -0.39
                                                        0.60
 0.70
                                                               4.1
                                                                     2.8 .005
 1.00
       10. 60. 30. 0.0 0.0 1090. 1.54 0.0
                                                -0.39
                                                        0.60
                                                               4.1
                                                                     2.8 .005
       10. 60. 30. 0.0 0.0 1290. 3.09 0.0 10. 60. 30. 0.0 0.0 1290. 3.09 0.0 10. 60. 30. 0.0 0.0 1290. 3.09 0.0 10. 60. 30. 0.0 0.0 1290. 3.09 0.0
                                                -0.40
 1.25
                                                        0.60
                                                               3.9
                                                                     2.8 .005
                                                -0.40
                                                               3.9
 1.50
                                                        0.60
                                                                     2.8 .005
 2.00
                                                -0.40
                                                        0.60
                                                               3.9
                                                                     2.8 .005
 3.00
                                                -0.40
                                                        0.60
                                                               3.9
                                                                     2.8 .005
       10. 60. 30. 0.0 0.0 1290. 3.09 0.0
                                                       0.60 3.9
                                               -0.40
 4.00
                                                                     2.8 .005
```

#### **Plant Growth Files**

## Input for leaves of aspen trees:

```
250
    89
         4.5
                                    1.50
              3.0 1.0
                        6.0
                             2.0
                                            SEP 17
260
    89
         4.5
              3.0 1.0
                        6.0
                             2.0
                                    1.50
                                            OCT
275
     89
         4.5
              3.0 1.0
                        0.0
                             0.0
                                    1.50
                                            OCT
170
     90
         4.5
               3.0 1.0
                        0.0
                             0.0
                                    1.50
                                            JUN 19
200
     90
         4.5
               3.0 1.0
                        6.0
                              2.0
                                    1.50
                                            AUG
250
         4.5
              3.0 1.0
     90
                        6.0
                              2.0
                                    1.50
                                            SEP 17
```

#### Input for limbs (and fall foliage) of aspen trees

```
89
               5.0 1.0
                                     .1
250
         4.5
                        1.0
                              0.25
                                          SEP 17
260
     89
         4.5
               5.0 1.0
                        1.0
                              0.25
                                     .1
                                          OCT
275
         4.5
               5.0 1.0
                              1.25
                                          OCT 2
     89
                        1.0
                                     . 1
         4.5 5.0 1.0
                              0.25
                                          OCT 7
280
     89
                        1.0
                                     . 1
260
         4.5 5.0 1.0
                        1.0
                              0.25
                                          OCT
                                    . 1
```

#### *Input for growth of grasses*

```
200
     89
         0.3
              0.5 1.0
                        6.0
                             1.0
                                   0.85
                                          AUG
         0.3
              0.5 1.0
260
    89
                        6.0
                             0.5
                                   0.85
                                          SEP 17
275
    89
         0.0
              0.5 1.0
                        0.0
                             0.0
                                   0.85
                                          OCT 2
              0.5 1.0
170
     90
         0.0
                        0.0
                             0.0
                                   0.85
                                          JUN 19
200
     90
         0.3
               0.5 1.0
                        6.0
                             1.0
                                   0.85
                                          AUG
              0.5 1.0
260
         0.3
                        6.0 0.5
                                  0.85
                                          OCT
```

#### **Surface Residue File**

```
270 1982 5.0 9000. 0.90 0.30 50000. 4.0 Grassland residue before fire 9000. 0.90 0.30 50000. 4.0

274 2007 0.5 1000. 0.60 0.50 50000. 4.0 Fire: Residue loss and albedo change 150 2008 1.0 1000. 0.60 0.50 50000. 4.0 Recovery each year after fire 274 2008 1.0 1000. 0.60 0.30 50000. 4.0

150 2009 1.0 1000. 0.70 0.30 50000. 4.0 274 2009 1.0 1000. 0.70 0.30 50000. 4.0 150 2010 2.0 2000. 0.80 0.30 50000. 4.0 274 2010 2.0 2000. 0.80 0.30 50000. 4.0 150 2011 2.0 2000. 0.90 0.30 50000. 4.0 274 2011 3.0 3000. 0.90 0.30 50000. 4.0 274 2012 3.0 3000. 0.90 0.30 50000. 4.0 274 2012 3.0 3000. 0.90 0.30 50000. 4.0 274 2012 4.0 4000. 0.90 0.30 50000. 4.0 274 2012 4.0 4000. 0.90 0.30 50000. 4.0
```

# **Model Output**

The SHAW model will create up to 17 output files for various aspects of the simulated system as specified by the user. Model output can specified for hourly intervals, daily intervals, or multiple-hour intervals that multiply evenly into 24 hours (e.g. 2, 3, 4, 6, etc.). However, if sub-daily output is desired, sub-daily time steps (NHRPDT) must be specified. In most cases, output to the file is either the sum or average since the last output interval. The following briefly describes the output to the screen and each of the files.

# **Output to Screen**

The SHAW model will generate output to the screen to indicate progress toward completion of the simulation. The model will update to the screen at desired intervals the day and hour for the simulation time, as well as the maximum and minimum number of sub-time steps that were necessary to solve the hourly or daily time steps during the output interval. If the model has difficulty reaching convergence for the energy or water balance equations, a message will flash on the screen indicating the time step where problems were encountered.

# **General Output File**

The general output file is created for every SHAW run. This file contains the title of the run and values for many of the input and hard-coded parameters. A summary of the entire simulated profile may be output at specified intervals. Temporal output to this file represent the last time step prior to output, i.e. output for hour 24 will be average daily values for daily time steps and will be the value for the hour prior to midnight for hourly time steps. Caution: hourly output to this file can create rather large files for lengthy simulations.

# **Soil Profile Output**

Output files may be created for simulated soil temperature, water content and/or water potential profiles. Each line in these files contains temperature (C), total or liquid water content (m³/m³) or water potential (m) for all nodes within the simulated profile at the desired output interval. Values represent average values over the output interval regardless of the time step used; if hourly output is desired, hourly time steps must be used.

Simulated total water content represents the total water content, i.e. ice plus liquid water content. A separate file may be output for liquid water content. Ice content for each soil node is output in the snow and frost depth output file.

Simulated water potentials are given in meters of water potential. While this may not be a common unit of water potential, it can easily be converted (1 m = 0.0981 bars = 9.81 kPa) and is very useful for specifying equilibrium water potentials above or below a water table, i.e. if the lower boundary is one meter below the water table, it has a water potential of +1.0 m.

# **Plant Canopy and Snow Profiles**

Profiles of temperature and humidity through the plant canopy can be output at each time step of the model simulation. The user may specify whether to output relative humidity or vapor pressure. Output is omitted if there is no plant canopy.

Interpolated snow temperature profiles at 10-cm increments may be output at specified time intervals (up to daily). Unlike most of other output files, the output snow temperature is the instantaneous temperature at the output interval and not the average since the last output interval. Along with snow temperatures, snow depth and the number of temperature values are include at each output interval. The first (or 0-cm) temperature is the temperature at the snow-soil interface and the last temperature on a given date is the snow surface temperature, i.e. distances in this output file are from the ground up whereas distances elsewhere in the model are measured in the downward direction. Output is omitted if there is no snow at the output interval.

# **Surface Energy Flux**

A summary of the surface energy balance may be specified for output intervals from hourly up to daily. For each output interval, net solar and long-wave radiation balance for the vegetation canopy, snow surface, residue and soil surface are given, respectively. Sensible, latent and ground flux heat values are given as well as incoming and reflected solar, and incoming and outgoing long-wave radiation. Sensible, latent and ground heat fluxes all assume positive values in the downward direction.

# **Water Balance Summary**

A summary of the water balance for the simulated profile may be output at intervals from hourly up to daily. Values in mm for each output interval include: cumulative precipitation over the output interval; snowmelt, intercepted precipitation present on the canopy at the end of the interval; total evapotranspiration; total canopy transpiration; change in storage over the output interval within the canopy (not including intercepted precipitation), snow, residue, and soil layers; water lost to deep percolation by moving between the deepest two soil nodes within the soil profile; water lost to runoff; water ponded on the surface at the end of the output interval; cumulative evapotranspiration from the beginning of the simulation; and an error in the water balance for the time period.

#### Soil Water Flux

Vertical water transfer between soil layers can be output to the soil water flux file. Cumulative water transfer (liquid plus vapor) over the specified output interval is given in mm; positive values denote downward flux between nodes. Water flux between the bottom two nodes will coincide with the deep percolation output in the Water Balance Summary output file.

# **Plant Root Extraction**

Water extracted from each soil layer by plant roots may be output at specified intervals. Output to this file is meters of water extracted from each soil layer. This output file may be used as input to subsequent SHAW runs as a Soil Source/Sink File after removing the two header lines. In doing so, water extraction by roots can be accounted for on a site where surrounding vegetation impacts the water balance of a site with little or no vegetation.

#### **Sub-surface Lateral Flow**

Sub-surface lateral flow may optionally be computed within the model by setting a non-zero value for the saturated lateral soil hydraulic conductivity. Lateral flow is assumed to occur only when the soil layer is saturated and is based on the slope. If slope is set to zero, no lateral flow will occur. This output file may be used as input to subsequent SHAW runs as a Soil

Source/Sink File after removing the two header lines. In doing so, water entering the soil profile from an upslope profile can be accounted for.

# Frost and Snow Depth

Frost, thaw, snow depth and snow water equivalent (SWE) may be output at specified intervals. Also contained in this file is ice content (m³/m³) for each soil layer. Output to this file represents conditions at the end of the output interval, not the average over the interval. Under conditions where there are several alternating layers of frozen and thawed soil, the thaw depth represents the deepest soil containing no ice that is underlain by frozen soil; output frost depth is the deepest soil depth containing ice. To assist in identifying snow/frost events and avoid output of zeroes through the warm seasons, output is suppressed when there is no snow or soil frost except at the beginning and end of each event; a blank line is inserted between snow/frost events.

Thaw and frost depth are computed by interpolating ice content over depth within the layer of maximum thaw or frost. If 100% of the water in a soil layer is ice, then the layer is assumed to completely frozen, and the computed frost depth will be midway between the soil node for that layer and next deepest soil node (assuming the next soil layer is not frozen). However, never is 100% of the water in the soil frozen. Thus, the next soil node will start to freeze before this condition occurs, which may result in a large change in the interpolated frost depth. This is particularly evident with large spacing between soil nodes. Additionally, a layer is assumed frozen only if it contains ice. A layer may have freezing temperature, but if it is sufficiently dry that the water does not freeze, it is assumed to be unfrozen. For these reasons, exercise caution when interpreting simulated frost and thaw depths.

# **Chemical Concentration Profiles**

Output can be specified for total chemical concentration with the soil layer as well as the solute concentration in the soil solution. The total chemical concentration (termed salt concentration) for each species is defined as the total chemical within the soil layer per mass of soil (mole equivalents/kg of soil) and includes the chemical absorbed onto the soil and that in soil solution. A separate file contains the solute concentration in soil solution (mole equivalents/liter). Values from each file can be converted to ppm by dividing by the molecular weight of the chemical species. Output files will have a separate line of output at each output interval for each chemical species.

# **Comparison of Simulated and Observed Values**

Comparison of simulated and observed values can be output by the model. The model will compute goodness-of-fit statistics (slope, intercept, correlation, Nash-Sutcliffe R<sup>2</sup>, average observed value, mean bias error, root mean square deviation, average absolute error, maximum positive and negative errors); this output an facilitate coupling with parameter optimization schemes, such as PEST. The model will also optionally provide side-by-side output of observed and measured values, which can be useful for plotting purposes.